IAP4 Rec'd PCT/PTO 2 0 DEC 2005

Approved for use through 3/31/2007. OMB 0651-0021
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TRANSMITTAL LETTER TO THE UNITED STATES ATTTORNEY'S DOCKET NUMBER BCGP101US DESIGNATED/ELECTED OFFICE (DO/EO/US) CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/CA2004/000924 21 June 2004 20 June 2003 TITLE OF INVENTION SYNTHESIS OF ARBORESCENT POLYMERS BASED ON DIENES APPLICANT(S) FOR DO/EO/US Gauthier, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🗹 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. 🔲 A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1,821-1,825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: Express Mail Certificate; Credit Card Payment Form; Application Filing Letter

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case, Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 12-2004)

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U.S. APPLICATION DO. (1 known see 37 CER.1.5) INTERNATIONAL APPLICATION NO. PCT/CA2004/000924					ATTORNEY'S DOCKET NUMBER BCGP101US		
					B001 10100	-	
21. The following fees are submitted: a) Basic national fee\$300.00					\$ 300,00		
					300.00		
b) Examination fee\$200.00					200.00		
c) Search fee\$500.00					\$ 500.00		
TOTAL OF ABOVE CALCULATIONS = \$1000.00					\$ 1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)					
46 - 100 =	/50 =			x \$250.00	\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$		
CLAIMS NUMBER FI		.ED	NUMBER EXTRA	RATE	\$		
Total claims	s 15	- 20 =		x \$50.00	\$		
Independent cla	nims 2	- 3 =		x \$200.00	\$		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00					\$		
TOTAL OF ABOVE CALCULATIONS =					\$ 1,000.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$ 500.00		
SUBTOTAL =					\$ 500.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$		
TOTAL NATIONAL FEE =					\$ 500.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$ 40.00		
TOTAL FEES ENCLOSED =					\$ 540.00		
					Amount to be refunded:	\$	
					Amount to be charged:	\$ 540.00	
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. D Pleas							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 50-1063 A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Amin & Turocy, LLP					a mock	1	
24th Floor, National City Center SIGNATURE 1900 East Ninth Street Gregory Turc						J	
Cleveland, Ohio 44114				NAME			
Telephone: (216) 696-8730 Fax: (216) 696-8731					36,952		
					ON NUMBER		

10/561776 IAPS RES' d PCT/PTO 20 DEC 2009

Docket No.:

BCGP101US

Applicant:

Gauthier, et al.

Title:

SYNTHESIS OF ARBORESCENT POLYMERS BASED

ON DIENES

I hereby certify that the attached patent application (along with any other paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date <u>December 20, 2005</u>, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EV628831129US</u> addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Rebecca A. Bellas

(Typed or Printed Name of Person Mailing Paper)

(Signature of Person Mailing Paper)

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BCGP101US

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service on the date shown below with sufficient postage as Express Mail Label <u>EV628831129US</u> in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Signature of person mailing paper)

Sook of relief

Rebecca A. Bellas

(Type or print name of person mailing paper)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application

Applicant:

Gauthier, et al.

Art Unit:

Express Mail No.:

EV628831129US

Examiner:

Filed:

HEREWITH

Title:

SYNTHESIS OF ARBORESCENT POLYMERS BASED ON

DIENES

NEW APPLICATION FILING LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

The attached application is submitted herewith absent a Combined Declaration and Power of Attorney. The inventors are as follows:

Name:

Mario Gauthier

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Kitchener, Ontario, Canada

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BCGP101US

Name:

Zhongshun Yuan

Residence: Address:

Waterloo, Ontario, Canada 221-104 Seagram Drive

Waterloo, Ontario, Canada N2L 3B8

Priority under 35 U.S.C. §119(e) is claimed to U.S. Provisional Patent Application No. 60/479,835 filed on June 20, 2003. This application is a National Phase filing under 35 U.S.C. § 371 of International Application No. PCT/CA2004/000924 filed on June 21, 2004.

Please note that the title of the application was amended during the PCT phase.

It is requested that the Patent Office direct all correspondence regarding this application to:

Gregory Turocy AMIN & TUROCY, L.L.P. 24th Floor, National City Center 1900 East 9th Street Cleveland, Ohio 44114

Respectfully submitted,

AMIN & TUROCY, L.L.P.

Reg. No. 36,952

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